

Date: October 20, 2023

BSE Limited
Listing Department
P J Tower, Dalal Street,
Mumbai-400001
Maharashtra, India.

Company No. 936338/ KLM AXIVA FINVEST LIMITED

Sir/Madam

Sub: SDD Compliance Certificate for the quarter ended September 30, 2023

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), please find enclosed herewith the SDD compliance certificate for the quarter ended September 30, 2023.

Request your good office to kindly take the same on record.

Thanking you,
For and on behalf of KLM Axiva Finvest Limited

SHIBU T
VARGHESE

Digitally signed by
SHIBU T VARGHESE
Date: 2023.10.20
15:11:59 +05'30'

Shibu Theckumpurath Varghese

Wholetime Director

DIN: 02079917





COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 30.09.2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, CS Suranya Sujith, Practising Company Secretary appointed by KLM Axiva Finvest Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that :

1. the Company has a Structured Digital Database in place;
2. control exists as to who can access the SDD;
3. the company has recently installed software for the maintenance of SDD and the historical data is being maintained in the excel sheet. All the UPSI disseminated in the previous quarter also is being captured in the SDD;
4. the SDD has captured the nature of UPSI along with the date and time;
5. the database has been maintained internally and an audit trail is maintained;
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one event during the quarter that ended 30th September, 2023 and has captured one number of the said required event.

I would like to report that no non-compliance was observed in the previous quarter ended on 30th June, 2023.

For SURANYA & ASSOCIATES

SURANYA Digitally signed
by SURANYA
SUJITH
A SUJITH Date: 2023.10.20
10:22:58 +05'30'

CS Suranya Sujith
Practising Company Secretary
FCS 11969
CP No. 18541

UDIN: F011969E001386991

Place: Ernakulam
Date: 20.10.2023