



Date: May 21, 2025

BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai-400001

Sir/Madam,

Sub: SDD Compliance Certificate for the financial year ended March 31, 2025

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), please find enclosed herewith the SDD compliance certificate for the financial year ended March 31, 2025.

Kindly take the same on records.

Thanking you,

For and on behalf of KLM Axiva Finvest Limited

TEL +91484 4281111

Shibu Theckumpurath Varghese Wholetime Director

DIN: 02079917

PS

SURANYA & ASSOCIATES

Company Secretaries

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading)

Regulations, 2015)

I, CS Suranya Sujith Practising Company Secretary, appointed by KLM Axiva Finvest Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

- 1. the Company has a Structured Digital Database in place;
- 2. control exists as to who can access the SDD;
- 3. all the UPSI disseminated in the previous quarter have been captured in the Database;
- 4. the system has captured nature of UPSI along with date and time;
- 5. the database has been maintained internally and an audit trail is maintained;
- 6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture four number of events during the financial year ended on March 31, 2025 and has captured four number of the said required events.

I would like to report that no non-compliance(s) was observed in the previous financial year ended on March 31, 2024.

For **SURANYA & ASSOCIATES**

SURANYA SUJITH Digitally signed by SURANYA SUJITH Date: 2025.05.20

CS Suranya Sujith Practising Company Secretary

FCS 11969 CP No. 18541

UDIN: F011969G000382889

Place: Ernakulam Date: 20.05.2025

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