

Date: January 17, 2024

BSE Limited
Listing Department
P J Tower, Dalal Street,
Mumbai-400001
Maharashtra, India.

Company No. 936338/ KLM AXIVA FINVEST LIMITED

Sir/Madam

Sub: SDD Compliance Certificate for the quarter ended December 31, 2023

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), please find enclosed herewith the SDD compliance certificate for the quarter ended December 31, 2023.

Request your good office to kindly take the same on record.

Thanking you,
For and on behalf of KLM Axiva Finvest Limited

Shibu Theckumpurath Varghese
Wholetime Director
DIN: 02079917





COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31.12.2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)


I, CS Suranya Sujith, Practicing Company Secretary appointed by KLM Axiva Finvest Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that :

1. the Company has a Structured Digital Database in place;
2. control exists as to who can access the SDD;
3. the company has recently installed software for the maintenance of SDD and the historical data is being maintained in the excel sheet. All the UPSI disseminated in the previous quarter also is being captured in the SDD;
4. the SDD has captured the nature of UPSI along with the date and time;
5. the database has been maintained internally and an audit trail is maintained;
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one event during the quarter that ended on 31st December, 2023 and has captured one number of the said required event.

I would like to report that no non-compliance was observed in the previous quarter ended on 30th September, 2023.

For SURANYA & ASSOCIATES


CS SURANYA SUJITH
Company Secretary
FCS 11969
CP No: 18541

UDIN: F011969E003218766

Place: Ernakulam

Date: 15.01.2024